

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas J. Fyvie et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For DETECTOR FOR MONITORING
CONTAMINANTS IN SOLVENT USED FOR DRY
CLEANING ARTICLES

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
US PATENT & TRADEMARK OFFICE
2011 S. CLARK PLACE
CRYSTAL PLAZA II, LBY RM 1B03
ARLINGTON, VA 22202-0001

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☐ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit_____
Type or Print Name_____
Signature**"EXPRESS MAIL" MAILING****LABEL NUMBER**

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below;
- ☐ 9 ^{or} The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

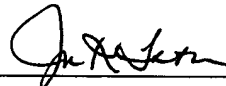
An original and two (2) copies of this document are enclosed.

Respectfully submitted,

9/26/03

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147



Attorney - Jean K. Testa
Registration No. 39,396
Phone: (518) 387-5115
or (518) 387-7122

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Applicant Number	Not Assigned
				Filing Date	Simultaneously Herewith
				First Named Inventor	Thomas Joseph Fyvie
				Group Art Unit	Not Assigned
Examiner Name	Not Assigned				
Sheet	1	of	2	Attorney Docket Number	RD-29557-5

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	01	US-3,583,567		Maestrelli	06/08/1971	
	02	US-3,931,011		Richards et al.	01/06/1976	
	03	US-4,138,337		Smith	02/06/1979	
	04	US-Des. 253,422		Smith	11/13/1979	
	05	US-4,279,704		Noble, Sr. et al.	07/21/1981	
	06	US-4,283,279		Smith	08/11/1981	
	07	US-4,298,465		Druffel	11/03/1981	
	08	US-4,444,625		Smith	04/24/1984	
	09	US-4,456,529		Shinaver	06/26/1984	
	10	US-4,661,612		George et al.	04/28/1987	
	11	US-4,765,159		Maeda et al.	08/23/1988	
	12	US-4,774,346		Imai et al.	09/27/1988	
	13	US-4,840,065		Arbizzani	06/20/1989	
	14	US-5,225,509		Heinrich et al.	07/06/1993	
	15	US-5,236,580		Kelleher	08/17/1993	
	16	US-5,238,899		Kadowaki et al.	08/24/1993	
	17	US-5,245,067		Schneider et al.	09/14/1993	
	18	US-5,288,831		Ichinobe et al.	02/22/1994	
	19	US-5,291,626		Molnar et al.	03/08/1994	
	20	US-5,493,124		Shapiro	02/20/1996	
	21	US-5,520,028		Kim et al.	05/28/1996	
	22	US-5,603,233		Erickson et al.	02/18/1997	
	23	US-5,731,868		Okey et al.	03/24/1998	
	24	US-5,783,078		Roll et al.	07/21/1998	
	25	US-5,860,796		Clausen	01/19/1999	
	26	US-5,864,140		Owens	01/26/1999	
	27	US-5,905,648		Badami	05/18/1999	
	28	US-5,997,739		Clausen et al.	12/07/1999	
	29	US-6,042,617		Berndt	03/28/2000	
	30	US-6,042,618		Berndt et al.	03/28/2000	
	31	US-6,056,789		Berndt et al.	05/02/2000	
	32	US-6,059,845		Berndt et al.	05/09/2000	
	33	US-6,063,135		Berndt et al.	05/16/2000	
	34	US-6,086,635		Berndt et al.	07/11/2000	
	35	US-6,203,698 B1		Goodrich	03/20/2001	
	36	US-6,313,468 B1		Wedekamp	11/06/2001	
	37	US-6,360,585	B1	Potyrailo et al.	03/26/2002	
	38	US-6,494,964	B1	Jacobs et al.	12/27/2002	
	39	US-6,509,558	B1	Loch et al.	01/21/2003	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Applicant Number	Not Assigned
				Filing Date	Simultaneously Herewith
				First Named Inventor	Thomas Joseph Fyvie
				Group Art Unit	Not Assigned
Examiner Name	Not Assigned				
Sheet	2	of	2	Attorney Docket Number	RD-29557-5

U.S. PATENT DOCUMENTS (Con't.)						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	40	US 2003/0019048	A1	Perry et al.	01/30/2003	
	41	US-6,516,817	B2	Jacobs et al.	02/11/2003	
	42	US-6,516,818	B2	Jacobs et al.	02/11/2003	
	43	US-6,519,034	B1	Engler et al.	02/11/2003	
	44	US-6,534,319	B1	Liu	03/18/2003	
	45	US-6,536,060	B1	Janssens et al.	03/25/2003	
	46	US-2003/0110658	A1	Treur	06/19/2003	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	1		EP 0 543 665 A1		Tsukuno et al.	05/26/1993	

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	"Dahl Fuel Filter Water Separator," Pecuniary, Inc. (www.diesel-fuels.com), 10 pages	
	2	"Turbine Series Fuel Filter/Water Separators," Mid-Atlantic Engine Supply Corp. (www.maesco.com), 11 pages	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.